



12-12-00

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Attorney Dock 50671-P018US-10013652  
Date December 8, 2000



FULBRIGHT & JAWORSKI L.L.P.  
2200 Ross Avenue, Suite 2800  
Dallas, Texas 75201  
214/855-8000

**PATENT APPLICATION TRANSMITTAL LETTER**

"Express Mail" label no. EL 372554815US

Date of Deposit: Dec. 11, 2000

Box PATENT APPLICATION  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

I hereby certify that this is being deposited with the United States Postal Service Express "Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

By: Gail L. Miller

Printed/Typed Name: Gail L. Miller

Sir:

Transmitted herewith for filing under 37 C.F.R. 1.53(b) is a(n):

- ☒ Utility
- ☒ Original patent application,

Inventor(s): **Thomas C. Harrop**

For: **SYSTEM AND METHOD FOR ADAPTIVE RESOURCE MANAGEMENT**

Enclosed are:

1. ☒ 18 pages of written description, claims and abstract.
2. ☒ 2 sheets of drawings.
3. ☒ Combined Declaration and Power of Attorney.
  - (a) ☒ Newly executed (original or copy)
  - (b) ☐ Copy from prior application (37 CFR 1.63(d)) (for continuation/divisional if Box 5 completed)

[Note Box 5 below]

4. ☐ Incorporation by Reference (useable if Box (b) is checked).

The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 3(b), is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

5. ☐ If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:
  - ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Serial No:
6. ☒ Assignment Papers (cover sheet and document(s)) of the invention to Objective Systems Integrators, Inc.
7. ☒ Applicant claims small entity status. See 37 CFR 1.27.
8. ☐ Information Disclosure Statement and Form PTO-1449. ☐ Copies of IDS Citations.
9. ☒ Request and Certification of Non-Publication
10. ☐ Preliminary Amendment

Date December 8, 2000

11. ☒ Return Receipt Postcard (MPEP 503) *(should be specifically itemized)*
12. ☐ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
13. ☐ Other: \_\_\_\_\_

14. Utility Fee Calculation

CLAIMS	(1) FOR	(2) NUMBER FILED		(3)NUMBER EXTRA	(4) RATE		(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. § 1.16(c) or (j))	33	- 20 =	13	X	\$ 18	= 234.00
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b) or (i))	5	- 3 =	2	X	\$ 80	= 160.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))				+	270.00	=
					Basic Fee		710.00
					Total of above Calculations =		1,104.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27 & 1.28)						
	<i>* Reissue claims in excess of 20 and over original patent</i> <i>** Reissue independent claims over original patent</i>				TOTAL =		\$552.00

Method of Fee Payment

15. ☒ A check in the amount of \$516.00 to cover the filing fee is enclosed.
16. ☒ A check in the amount of \$40.00 to cover the assignment recordal fee is enclosed.
17. ☒ Please charge my Deposit Account No. 06-2380 for the amount of \$36.00. **A duplicate of this Transmittal Letter is enclosed, if box checked.**
18. ☒ The Commissioner is hereby authorized to charge any deficiency in the enclosed fees under 37 C.F.R. § 1.16, or to charge any patent application processing fees under 37 C.F.R. § 1.17, or credit any overpayment, to Fulbright & Jaworski L.L.P. Deposit Account No. 06-2380.

Respectfully submitted,



Jody Bishop  
Registration No. 44,034  
Counsel for Applicant

Date: 12/11/00

Fulbright & Jaworski L.L.P.  
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Dallas, Texas 75201-2784  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Thomas C. Harrop  
Title: SYSTEM AND METHOD FOR ADAPTIVE RESOURCE  
MANAGEMENT

BOX PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

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By: <u>Gail L. Miller</u>
Printed/Typed Name: <u>Gail L. Miller</u>

## REQUEST AND CERTIFICATION OF NON-PUBLICATION

Sir:

I hereby certify that the invention disclosed in the attached application has not been, and is not currently contemplated to be, the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

Respectfully submitted,



Jody C. Bishop  
Registration No. 44,034  
Counsel for Applicant

Date: 12/11/00

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